GOALS OF CIVIL RIGHTS

- Equal treatment for all applicants and beneficiaries
- Knowledge of rights and responsibilities
- Elimination of illegal barriers that prevent or deter people from receiving benefits
- Dignity and respect for all

What is Discrimination?
The act of distinguishing one person or group of persons from other, either intentionally, by neglect, or by the effect of actions or lack of actions based on their protected classes.

What Is a Protected Class?
Any person or group of people who have characteristics for which discrimination is prohibited based on a law, regulation, or executive order.

Discrimination = Four D’s
...an individual or group is:

- **Denied** benefits or services that others receive
- **Delayed** receiving benefits or services that others receive
- Treated **Differently** than others to their disadvantage
- Given **Disparate** treatment something which does not seem discriminatory, but has a discriminatory impact in practice

Examples of Discrimination

- Refuse a child’s enrollment based on disability
- Failure to provide reasonable accommodations to disabled individuals
- Serving meals at a time, place, or manner that is discriminatory
- Selectively distributing applications and income forms
- Failure to provide the same eligibility criteria to all children
- Failure to provide foreign language materials regarding CACFP

Components of Civil Rights Compliance

- Public Notification System
- Outreach and Education
- Data Collection
- Reasonable Accommodations
- Language Assistance
- Civil Rights Complaint Procedures
- Technical Assistance and Training
- Customer Service
- Conflict Resolution

Equal Access

- All children who attend a day care must be provided equal access to the benefits of the CACFP.
- Infants must be offered infant formula and food at the day care, and parents cannot be asked or required to supply these items.
- To withhold the program from any eligible age group is age discrimination.
Public Notification

Must include information on:

- Eligibility
- Benefits & Services (i.e. free or reduced price meals)
- Program availability
- Applicant rights and responsibilities
- Procedures for filing a complaint
- Non-discrimination policies
- Any programmatic changes (i.e. changing location of a meal site)

Methods of Public Notification

- Public Release – Issued by State Agency
  - Informs the general public that your agency sponsors the CACFP and that meals are provided at no separate charge.
- Post “And Justice for All” Poster (required of sponsor only)
  - Includes the USDA’s required nondiscrimination statement and lists the USDA contact information for filing a complaint of discrimination.
- Other methods of public notification (optional):
  - Bulletins
  - Letters/Leaflets/Brochures
  - Internet/Computer-based Applications

“And Justice for All” Poster

- All sponsors participating in Child Nutrition Programs must display the USDA’s non-discrimination poster in a prominent area where participants and potential participants have access
  - Examples: cafeteria/food service area, office, central bulletin board
- Must be posted in admin building/office
- Must be 11” x 17” format

Public Notification System

- All home sponsors participating in the CACFP must provide informational materials in the appropriate translation concerning the availability and nutritional benefits of the program
- News Media Release: DPI annually issues a statewide media release for all home sponsors.

Outreach and Education

- Reach as many potential children as possible.
- Ensure program access.
- Pay attention to under-represented groups.
- Include the required nondiscrimination statement on all appropriate FNS and agency publications, web sites, posters, and informational materials.
- Reflect diversity and inclusion when using graphics.
Required Non-Discrimination Statement Language

If the material or document is too small to permit the full statement (previous 2 slides), the material MUST at a minimum include:

“This institution is an equal opportunity provider.”

→ “Copy to Paste” document available under Guidance Memo H on website:
http://dpi.wi.gov/community-nutrition/cacfp/family-day-care-home/memos

Collecting and Recording Participation Data

• Ethnic/Racial data is used to determine how effectively your program is reaching potentially eligible children and where outreach may be needed.
• Establish a system to collect ethnic and racial data on an annual basis
• Program applicants may not be required to furnish ethnicity and race
  – You may inform the household, however, that collection of this information is strictly for statistical reporting and has no influence on eligibility determination for the program.
• Data collectors may not second guess, change, or challenge a self-declaration of ethnicity/race made by a participant unless such declarations are blatantly false

Data Collecting and Reporting

Collect ethnic data first, then racial data

1. Ethnicity categories:
   – Hispanic or Latino
   – Non-Hispanic or Non-Latino

2. Racial categories (instructions should specify “mark one or more”)
   – American Indian or Alaskan Native
   – Asian
   – Black or African American
   – Native Hawaiian or other Pacific Islander
   – White

Obtain ethnic/racial data through

• Voluntary self-identification or self-reporting (preferred method)

   CACFP Enrollment Forms: The ethnic and racial data may be collected within the completed enrollment information submitted to the sponsor upon initial enrollment

   • If a household chooses not to provide racial/ethnic information, you may use one of the following two methods:
     – Visual identification by the home provider
     – Personal knowledge, records, or other documentation your agency possesses that identifies the household ethnic/racial data.

Plain text representation:

Required Non-Discrimination Statement Language

In accordance with Federal civil rights law and U.S. Department of Agriculture (USDA) civil rights regulations and policies, the USDA, its Agencies, offices, and employees, and institutions participating in or administering USDA programs are prohibited from discriminating based on race, color, national origin, sex, disability, age, or reprisal or retaliation for prior civil rights activity in any program or activity conducted or funded by USDA.

Persons with disabilities who require alternative means of communication for program information (e.g. Braille, large print, audiotape, American Sign Language, etc.), should contact the Agency (State or local) where they applied for benefits. Individuals who are deaf, hard of hearing or have speech disabilities may contact USDA through the Federal Relay Service at (800) 877-8339. Additionally, program information may be made available in languages other than English.

This institution is an equal opportunity provider.

Complaint Filing Procedure – 12/15 Revision

To file a program complaint of discrimination, complete the USDA Program Discrimination Complaint Form, (AD-3027) found online at: http://www.ascr.usda.gov/complaint_filing_cust.html, and at any USDA office, or write a letter addressed to USDA and provide in the letter all of the information requested in the form. To request a copy of the complaint form, call (866) 632-9992. Submit your completed form or letter to USDA by:

(1) Mail: U.S. Department of Agriculture
Office of the Assistant Secretary for Civil Rights
1400 Independence Avenue, SW
Washington, D.C. 20250-9410;

(2) Fax: (202) 690-7442;

(3) Email: program.intake@usda.gov

This institution is an equal opportunity provider.

This complaint filing procedure must be included with the USDA non-discrimination statement on previous slide.

Required Non-Discrimination Statement Language (Cont’d)

Non-Discrimination Statement – 12/15 Revision

Persons with disabilities who require alternative means of communication for program information (e.g. Braille, large print, audiotape, American Sign Language, etc.), should contact the Agency (State or local) where they applied for benefits. Individuals who are deaf, hard of hearing or have speech disabilities may contact USDA through the Federal Relay Service at (800) 877-8339. Additionally, program information may be made available in languages other than English.

Continue to next slide for the required complaint filing procedure that goes with this non-discrimination statement.

“Copy to Paste” document available under Guidance Memo H on website:
http://dpi.wi.gov/community-nutrition/cacfp/family-day-care-home/memos

This is a free document from the CACFP Civil Rights Training – FDCH Sponsor Staff.

Data Collecting and Recording Participation Data

• Ethnic/Racial data is used to determine how effectively your program is reaching potentially eligible children and where outreach may be needed.
• Establish a system to collect ethnic and racial data on an annual basis
• Program applicants may not be required to furnish ethnicity and race
  – You may inform the household, however, that collection of this information is strictly for statistical reporting and has no influence on eligibility determination for the program.
• Data collectors may not second guess, change, or challenge a self-declaration of ethnicity/race made by a participant unless such declarations are blatantly false

Data Collecting and Reporting

Collect ethnic data first, then racial data

1. Ethnicity categories:
   – Hispanic or Latino
   – Non-Hispanic or Non-Latino

2. Racial categories (instructions should specify “mark one or more”)
   – American Indian or Alaskan Native
   – Asian
   – Black or African American
   – Native Hawaiian or other Pacific Islander
   – White

Obtain ethnic/racial data through

• Voluntary self-identification or self-reporting (preferred method)

   CACFP Enrollment Forms: The ethnic and racial data may be collected within the completed enrollment information submitted to the sponsor upon initial enrollment

   • If a household chooses not to provide racial/ethnic information, you may use one of the following two methods:
     – Visual identification by the home provider
     – Personal knowledge, records, or other documentation your agency possesses that identifies the household ethnic/racial data.
Sample Ethnic and Racial Data Form

This data may be annually collected by other means than this sample Ethnic and Racial Data Form.

For example:
- Collect using enrollment forms completed by the parents/guardians.
- The collected data can be maintained within a database for annually compiling into a printed report.

Data Management

- Collection systems must ensure that data collected/retained are:
  - Collected and retained by each program site
  - Kept secure and confidential
  - Submitted, if requested, to FNS Regional or Headquarters Offices
  - Kept on file for 3 years plus the current program year
  - Identify all sources of information used

Sample form is Located under Guidance Memo H

Conflict Resolution

- The USDA recommends using an Alternative Dispute Resolution (ADR) program

  ADR Definition: use of a neutral third party (usually a person acting as a facilitator) to resolve informally a complaint of discrimination through use of various techniques such as fact finding, mediation, peer panels, facilitation, ombudsman support, or conciliation.

For more information, visit: http://www.fas.usda.gov/about-fas/civil-rights/alternative-dispute-resolution-adr-program

Reasonable Accommodation of Persons with Disabilities

Providing Menu Item Substitutions

- USDA regulations require providers to reasonably accommodate children whose disabilities restrict their diets by providing substitutions or modifications for their meals based on a licensed physician’s assessment.
  - Example: food allergies causing life-threatening anaphylactic reactions

- Disabilities must be documented by a physician’s statement
  - Physician statement must: state the name of the child’s disability, identify how it limits one of the major life activities, specify foods the child cannot have and the foods to be substituted.

- Generally, children with food allergies or intolerances do not have a disability. The provider may provide substitutions under these circumstances, but it is not required to.
  - Example: lactose intolerance, sensitivity to food additives

Language Assistance

- Limited English Proficiency (LEP)

  Definition:
  - Individuals who do not speak English as their primary language and have limited ability to read, speak, write, or understand English.
  - Recipients of Federal financial assistance have a responsibility to take reasonable steps to ensure meaningful access to their programs and activities by persons with LEP.

  Most Important!
  - These staff must understand interpreter ethics - particularly confidentiality.

Limited English Proficiency (LEP)

- Children should not be used as interpreters.
- Volunteers may be used

Example: Sponsor staff with Spanish language skills could assist a household in completing a Household Size-Income Statement.
**Limited English Proficiency (LEP)**
- See [www.lep.gov](http://www.lep.gov) for more information and resources
- **Please Note:** the document includes the various forms for all CACFP components; identify the appropriate form within the English document first to know which of the translated documents to give the households.

**A shortage of resources does not eliminate the translation requirement**

**Suggestions:**
- Share resources to save money
  - Use interpreter from another area
  - Train bilingual staff to be interpreters
  - Contact grassroots organizations to discuss translation or assistance from within the community
- Language line phone services may be available for a subscription fee through your local telephone service provider

---

**Right to File a Complaint**

Any person who believes he or she or someone he/she knows has been discriminated against based on Federal protected classes (i.e. National origin, race, etc.) has a right to file a complaint within **180 days** of the alleged discriminatory action.

- Complainants may contact either of the following offices to register a complaint:
  - USDA- Office of the Assistant Secretary for Civil Rights: Refer to slide 14 for the address, fax number, and email address.
  - Wisconsin DPI: Director, Community Nutrition Programs, 125 South Webster Street, P.O. Box 7841, Madison, WI 53707-7841, (608) 267-9129

---

**Handling Civil Rights Complaints**

- Complaints can be written or verbal
- Anonymous complaints should be handled as any other complaint
- All verbal or written complaints must be forwarded to the WI DPI or Civil Rights Division of USDA Food and Nutrition Service **within three days** of receiving a complaint
- Sponsors must give complainants a USDA Program Discrimination Complaint Form to complete (slide 14 has web link)
- Document all potential complaints in a Civil Rights Complaint Log
- Have a central location where the Civil Rights Complaint Forms and Civil Rights Complaint Log will be kept

---

**The following information should be included in a Civil Rights Complaint**

- Name, address, phone number of complainant, if provided (not required)
- Specific name and location of entity delivering the benefit or service
- The nature of the incident, action, or method of administration that led the complainant to feel discriminated against

---

**The following information should be included in a Civil Rights Complaint (Continued)**

- The basis on which the complainant feels discrimination exists (race, color, national origin, sex, age, or disability)
- The names, titles, and business addresses of persons who may have knowledge of the discriminatory action
- The date(s) during which the alleged discriminatory actions occurred, or if continuing, the duration of such actions
Civil Rights Training for Agency Staff

- All staff who work with the CACFP must receive training on all aspects of civil rights compliance annually.

**Required Topics:**
- What is Discrimination?
- Collecting/记录 racial/ethnic data
- Where to display posters
- What is a Civil Rights complaint
- How to handle a Civil Rights complaint

- Use DPI’s Civil Rights Training Power Point
  (Found on the Guidance Memo website, under GM H)

- Keep training records: (1) List of staff who received civil rights training; (2) training materials used; & (3) training date.

Customer Service

- All participants must be allowed equal opportunities to participate in Child Nutrition programs regardless of race, color, national origin, sex, age, or disability.

- All participants must be treated in the same manner (i.e., seating arrangements, serving lines, services, and facilities, assignment of eating periods, methods of selection for Household Size-Income Statement approval).

Understanding Differences: Respectful Language

**Put the person first**

- Example: USE “person with a disability”, NOT “disabled person”

**Use culturally sensitive language**

- Example: USE “Asian”, NOT “Oriental”

**Use inclusive/respectful terms**

- Example: USE “chair”, NOT “chairman”

*The Side Road – Business Communication:*

Ask yourself each time you interact with participants...

- How would I want to be addressed?
- Am I treating this person in the same manner I treat others?
- Have I informed this person exactly what information I need to make a determination on the Household Size-Income Statement?
- Have I given this person the opportunity to clarify all relevant factors or inconsistencies and ask questions?
- Have I provided this person with needed information to make necessary decisions?

Civil Rights “Must Do List”

**Sponsors must train & monitor providers to ensure:**

- The CACFP is provided in a nondiscriminatory manner
- Meals are offered to all children in care and meal substitutions are supplied to children with disabilities
- Providers supply formula and foods to infants, giving parents the option to supply only if they choose
- The Building for the Future flier is posted or given out to families of enrolled children
- The current and appropriate USDA non-discrimination statement is printed on materials available to the public which mention USDA and/or CACFP including websites (both sponsors and providers)

**Sponsors must:**

- Conspicuously place the “And Justice for All” poster within the sponsor’s administrative office
- Annually compile Civil Rights Data and retain on file for 3 years plus the current year
- Provide informational materials in the appropriate translation concerning the availability and nutritional benefits of the CACFP
- Train staff annually on Civil Rights and retain training records
- Develop & fully implement your Civil Rights Complaint Procedure
- Make available to all staff: Civil Rights Complaint forms, Civil Rights Log and Civil Rights complaint procedure
- Refer all Civil Rights complaints to DPI or USDA