WISCONSIN DEPARTMENT OF PUBLIC INSTRUCTION

Tony Evers, PhD, State Superintendent

NOTIFICATION OF FEDERAL GRANT SUBAWARD

PI-1138 (Rev. 08-15)

General Subaward Information (2 CFR §200.331(a))

SUBAWARD INFORMATION

DPI Grant Name (1)

School Improvement Grant Cohort II Continuation

Subrecipient Information

Agency Name (2)	Agency Code (3)
Menominee Indian School District	723434

DUNS Name (4)	DUNS No. (5)
Menominee Indian School District	017442286

Amount of Federal Funds Obligated By This Action (6)	Total Amount of Federal Funds Obligated (7)	Total Approved Cost Sharing or Matching (8)
$290,659	$290,659	$

DPI Contact Information

Contact Name *First & Last* (9)	Email Address (10)	Phone *Area Code/No.* (11)
Shari Bernstein	shari.bernstein@dpi.wi.gov	(608) 266-2813

Team (12)	DPI Grant Number (13)
Title I and School Support	A151-00000-723434

DPI Source Code (14)	DPI Project Code (15)	Research & Development Grant (16)
751	151	☒ No ☐ Yes

Subaward Date *Mo./Day/Yr.* (17)	Subaward Period of Performance Start Date *Mo./Day/Yr.* (18)	End Date *Mo./Day/Yr.* (19)	Indirect Rate Type (20)	Subrecipient's Indirect Rate (21)
	7/1/2015	6/30/2016	☒ Restricted ☐ Unrestricted	6.05%

APPROVAL SIGNATURES

Senior Grant Management Accountant, School Management Services Team	Date Signed *Mo./Day/Yr.*
➢ Allan Vivrig	9-16-15
Division Administrator	**Date Signed *Mo./Day/Yr.***
➢ Lynette Russell	9/22/2015
State Superintendent	**Date Signed *Mo./Day/Yr.***
Tony Evers	9/22/2015

ADDITIONAL SUBAWARD INFORMATION

Other information pertaining to the subrecipient. (22)

FEDERAL AWARD INFORMATION

Federal Award Name (23)	Name of Federal Agency (24)
School Improvement Grants	US Department of Education
Federal Award Identification Number (FAIN) (25)	**Federal Award Date (26)**
S377A140050	8/4/2015
Current Total Federal Award Amount (27)	**Expected Total Federal Award Amount (28)**
$6,857,777	$6,857,777

CFDA Number (29)	CFDA Name (30)	CFDA Amount Made Available (31)
84.377A	School Improvement Grants	$6,857,777

Federal Award Project Description (32)

84.377A
School Improvement Grants

FEDERAL GRANT REQUIREMENTS (§200.331(a)(2))

All Federal Grant Programs

Allowable Costs: Costs incurred will be allowable under the principles established in the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards; Final Rule [2 CFR Subpart E—Cost Principles]

Applicable Statutes and Regulations: The grantee shall comply with all applicable statutory and regulatory requirements. These requirements include, but are not limited to, applicable provisions of -

Title VI of the Civil Rights Act of 1964 [45 U.S.C. 2000d through 2000d–4]
Title IX of the Education Amendments of 1972 [20 U.S.C. 1681–1683]
Section 504 of the Rehabilitation Act of 1973 [29 U.S.C. 794]
The Age Discrimination Act [42 U.S.C. 6101 et seq.]

Budget Modifications: The grantee will obtain an approved budget amendment when it is anticipated that claimed expenditures will vary significantly from the amount in the current approved budget. A significant variance is an increase of 10 percent (summary of all line items) of the current total approved budget. [2 CFR § 200.308(e)] This applies to all grants unless there are more restrictive or specific requirements of the grant award which may be the case with discretionary grants.

Confidentiality: The grantee shall comply with provisions regarding confidentiality of student information. [Wisconsin Statute §118.125, Pupil records]

Contracts and Procurement: The grantee will use its own procurement procedures that reflect applicable state and local laws and regulations, provided the procurements conform to applicable federal law and the standards in 2 CFR §§200.318-200.326 Procurement Standards.

Debarred and Suspended Parties: A contract (see 2 CFR §180.220) must not be made to parties listed on the government wide Excluded Parties List System in the System for Award Management (SAM), in accordance with the OMB guidelines at 2 CFR 180 that implement Executive Orders 12549 (3 CFR Part 1986 Comp., p. 189) and 12689 (3 CFR Part 1989 Comp., p. 235), "Debarment and Suspension." The Excluded Parties List System in SAM contains the names of parties debarred, suspended, or otherwise excluded by agencies, as well as parties declared ineligible under statutory or regulatory authority other than Executive Order 12549.

Lobbying: By accepting this award, the grantee assures it will comply with the requirements of 31 U.S.C. 1352, as well as the common rule, "New Restrictions on Lobbying" published at 55 FR 6736 (February 26, 1990), including definitions, and the Office of Management and Budget "Government-wide Guidance for New Restrictions on Lobbying" and notices published at 54 FR 52306 (December 20, 1989), 55 FR 24540 (June 15, 1990), 57 FR 1772 (January 15, 1992), and 61 FR 1412 (January 19, 1996).

OMB Standard Form 424B: The grantee will comply with all applicable assurances in OMB Standard Forms 424B (Assurances for Non-Construction Programs), including the assurances relating to the legal authority to apply for assistance; access to records; conflict of interest; merit systems; nondiscrimination; Hatch Act provisions; labor standards; flood insurance; environmental standards; wild and scenic river systems; historic preservation; protection of human subjects; animal welfare; lead-based paint; Single Audit Act; and general agreement to comply with all applicable federal laws, executive orders and regulations.
http://www.grants.gov/web/grants/forms/sf-424-family.html

Programmatic Changes: The grantee will obtain the prior approval of the WDPI whenever any of the following actions is anticipated: (1) Any revision of the scope or objectives of the project; (2) Changes in key persons where specified in the application or grant award; (3) A disengagement from the project for more than three months, or a 25 percent reduction in time devoted to the project, by the approved project director; (4) Contracting out or otherwise obtaining services of a third party to perform activities central to the purpose of the award; (5) Changes in the amount of approved cost-sharing or matching provided by the subrecipient. [2 CFR §200.308(c)(1, 2, 3, 6, 7)].

Record Retention: In accordance with 2 CFR § 200.333(b), this is written notification to the subrecipient that WDPI requires an extension to the record retention period for grants addressed in the Wisconsin Records Retention Schedule for School Districts (http://publicrecordsboard.wi.gov/docview.asp?docid=15892&locid=165). For all other grants, the grantee will ensure records are maintained for a period of at least three years after the end of the project year (2 CFR § 200.333). If any litigation, claim, negotiation, audit or other action involving the records starts before the end of the period, the records will be retained until completion of the action and resolution of all issues.

Reporting: The grantee will ensure all required financial and program data is reported to the WDPI timely on a schedule established by the WDPI. The grantee will report to WDPI using the accounts in the Wisconsin Uniform Financial Accounting Requirements (WUFAR). [2 CFR §200.302(b)(2)]

Single Audit: Any entity that expends in total (all sources) $750,000 or more in federal funds during a fiscal year (July 1 – June 30) is required to conduct a single audit. If a single audit is required, a copy of the audit is to be submitted to DPI School Financial Services, Auditor. [2 CFR §200.501]

FEDERAL GRANT REQUIREMENTS (§200.331(a)(2)) (cont'd)

Text Messaging and E-Mailing While Driving: The grantee and their grant personnel are prohibited from text messaging while driving a government-owned vehicle, or while driving their own privately owned vehicle during official grant business, or from using government supplied electronic equipment to text message or e-mail when driving. [Executive Order 13513, "Federal Leadership on Reducing Text Messaging While Driving," October 1, 2009; http://www.gpo.gov/fdsys/pkg/FR-2009-10-06/pdf/E9-24203.pdf]

Time and Effort Supporting Documentation: For costs to be allowable, compensation for personal services must adhere to the Standards for Documentation of Personnel Expenses as identified in 2 CFR §200.430(i)(1). The subrecipient must retain records that accurately reflect the work performed and be supported by a system of internal control which provides reasonable assurance that the charges are accurate, allowable, and properly allocated.

Trafficking in Persons: The grant condition specified in 2 CFR §175.10 includes the following language: "I. Trafficking in persons. 1. You as the recipient, your employees, subrecipients under this award, and subrecipients' employees may not i. Engage in severe forms of trafficking in persons during the period of time that the award is in effect; ii. Procure a commercial sex act during the period of time that the award is in effect; or iii. Use forced labor in the performance of the award or subawards under the award." A subrecipient is required to inform the federal agency immediately of any information received from any source alleging a violation of this condition. The federal agency may unilaterally terminate this award, without penalty, if a subrecipient is determined to have violated this condition.

Grant Program Terms and Conditions

15. The recipient agrees the project will be implemented according to the approved plan submitted in the grant application.
16. The recipient shall perform the work and services described in the grant proposal, including any amendments submitted to meet specific conditions.
17. The recipient shall conduct the project in accordance with grant assurances.
18. Expenditure is limited to the amount in the approved application. Any variance must be approved prior to initiation.
19. All 2015-16 activities funded by this grant must be completed and all funds must be encumbered by June 30, 2016.
20. A final program fiscal report (PI-1086) must be received by the Department of Public Instruction (DPI) no later than September 30, 2016.
21. The recipient shall submit program fiscal reports for each served school and districtwide activities on a monthly basis.

Additional Requirements (2 CFR § 200.331(a)(3))

Fiscal Monitoring

Programmatic Monitoring

Access to Records (2 CFR § 200.331(a)(5))

Subrecipients must permit the Department of Public Instruction and auditors to have access to the subrecipient's records and financial statements as necessary for the Department of Public Instruction to meet the requirements of the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards; Final Rule, 2 CFR §200.300 Statutory and national policy requirements through §200.309 Period of performance, and Subpart F–Audit Requirements of this Part.

Closeout Terms and Conditions (2 CFR § 200.331(a)(6))

The subrecipient must submit, no later than 90 calendar days after the end date of the period of performance, all financial, performance, and other reports as required by the federal award. (§200.343(a))

The subrecipient must liquidate all obligations incurred under the federal award no later than 90 calendar days after the end date of the period of performance as specified in the terms and conditions of the federal subaward. (§200.343(b))

IX. BUDGET SUMMARY

LEA	Grant Period	Date Submitted		
Menominee Indian High School	Begin Date **7/1/2015**	Initial Request	First Revision	Second Revision
Project Number *For DPI Use Only*	End Date **6/30/2016**			

Budget Revisions: Submit a copy of this page, with appropriate revisions included. (Attach this to a brief letter of justification.) **Note:** Submit request at least **30 days** prior to expenditure of grant monies.

A dollar amount must be entered in ALL fields even if "$0" dollars were spent.

WUFAR Function	WUFAR Object	Amount Requested	First Revision	Second Revision
Instruction (100 000 Series) Activities dealing directly with the interaction between instructional staff and students.	a. Salaries (100s)	0	0	0
	b. Fringe Benefits (200s)	0	0	0
	c. Purchased Services (300s)	0	0	0
	d. Non-Capital Objects (400s)	26,558	0	0
	e. Capital Objects (500s)	1,841	0	0
	f. Other Objects (e.g., fees) (900s)	0	0	0
	TOTAL Instruction	$28,399	0$0	0$0
Support Services—Pupil and Instructional Staff Services (in 210 000 and 220 000 Series) Support services are those which facilitate and enhance instructional or other components of the grant. This category includes staff development, supervision, and coordination of grant activities.	a. Salaries (100s)	140,020	0	0
	b. Fringe Benefits (200s)	22,581	0	0
	c. Purchased Services (300s)	33,752	0	0
	d. Non-Capital Objects (400s)	43,940	0	0
	e. Capital Objects (500s)	0	0	0
	f. Other Objects (e.g., fees) (900s)	0	0	0
	TOTAL Support Services—Pupil/Instructional Staff Services	$240,293	0$0	0$0
Support Services—Administration (Associated with functions in 230 000 series and above.) Includes general, building, business, central service administration, and insurances.	a. Salaries (100s)	19,160	0	0
	b. Fringe Benefits (200s)	2,807	0	0
	c. Purchased Services (300s)		0	0
	d. Non-Capital Objects (400s)		0	0
	e. Capital Objects (500s)	0	0	0
	f. Insurance (700s)	0	0	0
	g. Other Objects (e.g., fees) (900s)	0	0	0
	TOTAL Support Services—Admin.	$21,967	0$0	0$0
Indirect Cost	Approved Rate %			
TOTAL BUDGET		$290,659	$0.00$0	$0.00$0

	DPI Reviewer Signature	Date Signed *Mo./Day/Yr.*
DPI Approval	O [signature]	9/9/15