



Wisconsin Department of Agriculture, Trade and Consumer Protection
 Division of Food and Recreational Safety
 PO Box 8911, Madison, WI 53708-8911
 Phone: (608) 224-4923 Fax: (608) 224-4710

FARM PRODUCE SAFETY AUDIT REPORT

AGENCY OFFICE PHONE NUMBER

DATE(S) OF AUDIT

WISCONSIN FARM REGISTRATION NUMBER

LAST NAME, FIRST NAME, MIDDLE INITIAL AND TITLE OF INDIVIDUAL TO WHOM REPORT IS ISSUED (*Most responsible individual present*) TO:

FARM NAME (*include business name, if different*)

OWNER/OPERATOR

FARM MAILING ADDRESS

FARM PHYSICAL LOCATION, IF DIFFERENT FROM MAILING ADDRESS
 (*e.g., location identifiers such as GPS coordinates*)

CROPS OBSERVED DURING AUDIT

This form lists factual observations made by the state auditor during the audit of the farm's operation.

The Wisconsin Department of Agriculture, Trade and Consumer Protection is responsible for protecting the food supply and ensuring the safety of consumers; therefore, if and when egregious conditions are observed, without immediate and effective response by the growers, actions will be taken under state authority.

RECORDED OBSERVATION MADE DURING THE AUDIT

State auditors should check one of the following options. Please note, this is not a final determination of compliance, or non-compliance, with the Produce Safety Rule (21 CFR Part 112) or any other legal requirement.

During an audit of the operation (I) (we) did not observe any conditions and/or practices to be recorded on this form.

During an audit of the operation (I) (we) observed the following conditions and/or practices as described below.

Personnel Qualifications and Training (21 CFR Part 112, Subpart C)

1. §§ 112.21 and 112.22: Qualifications and training for personnel who handle (contact) covered produce or food contact surfaces

Observation

Corrective action taken

Description:

2. § 112.23: Assignment or identification of supervisors

Observation

Corrective action taken

Description:

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3. § 112.30: Record-keeping

Observation

Corrective action taken

Description:

Health and Hygiene (21 CFR Part 112, Subpart D)

4. § 112.31: Measures to prevent ill or infected persons from contaminating covered produce with microorganisms of public health significance

Observation

Corrective action taken

Description:

5. § 112.32: Hygienic practices of personnel

Observation

Corrective action taken

Description:

6. § 112.33: Measures to prevent visitors from contaminating covered produce and food contact surfaces with microorganisms of public health significance

Observation

Corrective action taken

Description:

Agricultural Water (21 CFR Part 112, Subpart E)

7. § 112.41: Quality of agricultural water

Observation

Corrective action taken

Description:

8. § 112.42: Agricultural water sources, water distribution system, and pooling of water

Observation

Corrective action taken

Description:

9. § 112.43: Treating agricultural water

Observation

Corrective action taken

Description:

10. § 112.44: Microbial quality criteria applicable to agricultural water used for certain intended uses

Observation

Corrective action taken

Description:

11. § 112.45: Corrective measures if agricultural water does not meet requirements of § 112.41 or § 112.44.

Observation Corrective action taken

Description:

12. §§ 112.46 and 112.47: Testing agricultural water that is subject to the requirements of § 112.44.

Observation Corrective action taken

Description:

13. § 112.48: Water that is used during harvest, packing, and holding activities

Observation Corrective action taken

Description:

14. § 112.50: Record-keeping

Observation Corrective action taken

Description:

Biological Soil Amendments of Animal Origin and Human Waste (21 CFR Part 112, Subpart F)

15. § 112.52: Handling, conveyance, and storage of biological soil amendments of animal origin

Observation Corrective action taken

Description:

16. § 112.53: Use of human waste

Observation Corrective action taken

Description:

17. §§ 112.51, 112.54, 112.55, and 112.56: Determining status of biological soil amendment of animal origin; acceptable treatment processes; applicable microbial standards for such treatment processes; and, application requirements and minimum application intervals for biological soil amendments of animal origin

Observation Corrective action taken

Description:

18. § 112.60: Record-keeping

Observation Corrective action taken

Description:

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Domesticated and Wild Animals (21 CFR Part 112, Subpart I)

19. § 112.83: Measures related to grazing animals, working animals, or animal intrusion
Observation Corrective action taken

Description:

Growing, Harvesting, Packing, and Holding Activities (21 CFR Part 112, Subpart K)

20. § 112.111: Measures related to growing, harvesting, packing, or holding both covered and excluded produce
Observation Corrective action taken

Description:

21. § 112.112: Measures to be taken immediately prior to and during harvest activities
Observation Corrective action taken

Description:

22. § 112.113: Handling harvested covered produce
Observation Corrective action taken

Description:

23. § 112.114: Disposition of dropped covered produce
Observation Corrective action taken

Description:

24. § 112.115: Measures related to packaging covered produce
Observation Corrective action taken

Description:

25. § 112.116: Measures related to food packaging (including food packaging) material
Observation Corrective action taken

Description:

Equipment, Tools, Buildings, and Sanitation (21 CFR Part 112, Subpart L)

26. § 112.123: Equipment and Tools
Observation Corrective action taken

Description:

27. § 112.124: Instruments and controls used to measure, regulate, or record
Observation Corrective action taken

Description:

28. § 112.125: Equipment used in the transport of covered produce
Observation Corrective action taken

Description:

29. § 112.126: Buildings
Observation Corrective action taken

Description:

30. § 112.127: Domesticated animals in and around a fully enclosed building
Observation Corrective action taken

Description:

31. § 112.128: Pest control in buildings
Observation Corrective action taken

Description:

32. § 112.129: Toilet facilities
Observation Corrective action taken

Description:

33. § 112.130: Hand-washing facilities
Observation Corrective action taken

Description:

34. § 112.131: Control and disposal of sewage
Observation Corrective action taken

Description:

35. § 112.132: Control and disposal of trash, litter, and waste
Observation Corrective action taken

Description:

36. § 112.133: Plumbing

Observation Corrective action taken

Description:

37. § 112.134: Control of animal excreta and litter from domesticated animals

Observation Corrective action taken

Description:

38. § 112.140: Record-keeping

Observation Corrective action taken

Description:

Sprouts (21 CFR Part 112, Subpart M)

39. § 112.142: Seeds or beans used to grow sprouts

Observation Corrective action taken

Description:

40. § 112.143(a): Fully-enclosed buildings

Observation Corrective action taken

Description:

41. § 112.143(b): Cleaning and sanitizing food-contact surfaces

Observation Corrective action taken

Description:

42. §§ 112.144(a), 112.145, and 112.146: Environmental monitoring for *Listeria* species or *L. monocytogenes* (written environmental monitoring plan, collection and testing, corrective actions)

Observation Corrective action taken

Description:

43. §§ 112.144(b) and (c), 112.147 and 112.148: Testing spent irrigation water or in-process sprouts for pathogens (*written sampling plan, collection and testing, corrective actions*)

Observation Corrective action taken

Description:

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44. § 112.150: Record-keeping

Observation Corrective action taken

Description:

Records (21 CFR Part 112, Subpart O)

45. §§ 112.161 – 112.167: General record-keeping

Observation Corrective action taken

Description:

Other Observations

46. Other

Observation Corrective action taken

Description:

STATE AUDITOR SIGNATURE	STATE AUDITOR(S) NAME AND TITLE (<i>Print or Type</i>)	DATE ISSUED
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Continuation Sheet

Additional Observations and/or Comments

The observations of conditions and practices listed on this form are reported:

1. Pursuant to Section 704(b) of the Federal Food, Drug, and Cosmetic Act, or
2. To assist firms inspected in complying with applicable laws and regulations

Any reference to this report in labeling, advertising, or other sales promotion by any person is prohibited under Section 301(n) of the Federal Food, Drug and Cosmetic Act.