This document is part of the department’s guidance on implementing Indicator 7. It provides answers to frequently asked questions regarding the reporting of outcome data for children with disabilities ages 3 through 5.

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Overview

1. What is Indicator 7?

Indicator 7 focuses on early childhood special education outcomes; specifically the percent of preschool children aged 3 through 5 with individualized education programs (IEPs) who demonstrate improved:

- Positive social-emotional skills
- Acquisition and use of knowledge and skills
- Use of appropriate behaviors to meet needs

2. Why are states measuring outcomes for programs serving young children with disabilities?

The Office of Special Education Programs (OSEP) in the U.S. Department of Education developed a continuous improvement monitoring process that utilizes the State Performance Plan (SPP) and the Annual Performance Report (APR) as the primary mechanisms to hold states accountable in meeting the needs of children with disabilities. The SPP includes 17 indicators of which Indicator 7 is specific to the reporting of outcome data for children with disabilities ages 3 through 5.

3. What do the child outcome areas address?

The outcomes address three areas of child functioning necessary for each child to be an active and successful participant at home, in early care and education settings, and in the community.

Positive social-emotional skills refer to how children get along with and relate to other children and adults. The outcome includes the ways the child expresses emotions and feelings and how he or she interacts with and plays with other children. These skills also include how children follow rules related to interacting with others in group situations.

The acquisition and use of knowledge and skills refers to the child's ability to think, reason, remember, solve problems, and use symbols and language. The outcome also encompasses the child's understanding of physical and social worlds. It includes understanding of early concepts (e.g., symbols, pictures, numbers, classification, spatial relationships), imitation, object permanence, the acquisition of language and communication skills, and of early literacy and numeracy skills. The outcome also addresses the precursors that are needed so that children will experience success later in elementary school when they are taught academic subject areas (e.g., reading, mathematics).

The use of appropriate behaviors to meet needs refers to the actions that children employ to take care of their basic needs, including getting from place to place, using tools (e.g., fork, toothbrush), and in older children, contributing to their own health and safety. The outcome includes how children take care of themselves (e.g., dressing, feeding, hair brushing, toileting), carry out household responsibilities, and act on the world to get what they want. This outcome addresses children's increasing capacity to become independent in interacting with the world and taking care of their needs.
4. What child outcomes information is reported to the Office of Special Education Programs (OSEP)?

States are required to measure and report through the APR on the progress children make in each of the three child outcome areas between the time a child enters early childhood special education and the time a child exits early childhood special education. For the purposes of Indicator 7, early childhood special education is the special education and related services a child receives between ages 3 through 5. Data are reported for all children who receive at least six months of special education and related services.

For each child outcome area, the state reports progress category data. Specifically, the percentage of children who:

1. Did not improve functioning
2. Improved functioning, but not sufficiently to move nearer to functioning comparable to same-aged peers
3. Improved functioning to a level nearer to same-aged peers, but did not reach it
4. Improved functioning to reach a level comparable to same-aged peers
5. Maintained functioning at a level comparable to same-aged peers

From these progress categories, data on two summary statements are computed:

- The percentage of children who entered or exited the program below age expectations in each outcome who substantially increased their rate of growth by the time they turned 6 years of age or exited early childhood special education, and
- The percentage of children who were functioning within age expectations in each outcome by the time they turned 6 years of age or exited early childhood special education.

Through a stakeholder process, states must set targets for the summary statements for each child outcome area and report on progress toward the targets in its APR.

The data reported in the APR are aggregated to create a state view of the progress made by all children who received early childhood special education services. No data on an individual child are included.

The District Profile can be accessed to view a local educational agency’s (LEA’s) data specific to the summary statements and the state targets. The District Profile can be found at https://apps4.dpi.wi.gov/spedprofile.

5. How are the child outcomes measured?

A child’s current level of functioning is rated for each of the three child outcome areas when the child enters early childhood special education and again when the child exits early childhood special education. The rating process uses a 7-point scale that compares a child’s level of functioning to that of same-age, typically-developing peers.
6. **What information is needed to accurately rate the child’s level of functioning?**

   To accurately rate the child’s level of functioning in each of the three child outcome areas, the child outcomes team will use information gathered from a variety of sources including the use of an age anchored assessment tool. In addition, parent input about the child’s skills and behaviors must be considered as well as observations made by teachers, therapists, and any other providers familiar with the child. The use of the Child Outcome Decision Tree will guide the child outcomes team in using the information gathered to accurately rate the child’s level of functioning.

7. **What is the Child Outcomes Decision Tree?**

   The Child Outcomes Decision Tree was developed by the Early Childhood Technical Assistance (ECTA) Center as a tool to assist outcome rating teams accurately rate a child’s current level of functioning. The decision tree is a series of questions about the extent to which a child exhibits age-expected skills and behaviors in each outcome area.

8. **How can child outcomes be integrated in the individualized education program (IEP)?**

   The IEP team can integrate child outcomes data into IEP team discussions and documentation specifically focusing on:
   - high-quality functional assessments,
   - present levels of current functioning that address the whole child, and
   - functional goals that can be carried out in multiple settings.

9. **How are the child outcomes reported?**

   The Indicator 7 Child Outcomes application available within the Special Education Web Portal is used to report a child’s entry and exit outcome ratings. The child outcomes team will use the application in real-time as the team discusses a child for the purpose of rating the child’s current level of functioning. The application is modeled after the Child Outcomes Decision Tree. Using the Indicator 7 Child Outcomes application, the outcomes team is led through the rating process by responding to the decision tree questions. A child’s rating for each of the three child outcome areas is generated by the application based on the responses provided to the decision tree questions.

10. **How is access to the Indicator 7 Child Outcomes application obtained?**

    A Web Access Management System (WAMS) login ID is needed to access the Indicator 7 Child Outcomes application. WAMS is a login system used across state agencies that allows authorized individuals to access applications they have been granted permission to use. An individual only needs one WAMS login ID and password.

    To register for a WAMS login, go to [https://on.wisconsin.gov/](https://on.wisconsin.gov/).

    Once an individual has a WAMS login, access to the Indicator 7 Child Outcomes application is granted by the Special Education Portal - Early Childhood Administrator.
11. What is the rating process using the Indicator 7 Child Outcomes application?

When the child enters early childhood special education, the process will be:

- Create a student record for the child including the child’s entry date.
- Create an entry child outcomes record for the child.
  - Identify the persons involved in determining the entry ratings.
  - Rate the child’s current level of functioning for each of the three outcome areas using the Decision Tree and including the documentation of evidence.
- Lock the entry child outcomes record for the child.
- Submit the entry child outcomes record for the child.

When the child exits early childhood special education, the process will be:

- Update the child’s student record to include the exit date.
- Create an exit child outcomes record for the child.
  - For a child who received at least six months (183) days of service:
    - Identify the persons involved in determining the exit ratings.
    - Rate the child’s current level of functioning for each of the three outcome areas using the Decision Tree and including the documentation of evidence.
- Lock the exit child outcomes record for the child.
- Submit the exit child outcomes record for the child.

12. What does “lock” mean? When do I lock?

“Lock” means the child’s entry or exit outcomes record is complete. The child outcomes team has the option to ‘lock’ a child’s entry outcome record once a rating has been determined for each of the three outcome areas. The child outcomes team has the option to ‘lock’ a child’s exit outcome record once a rating has been determined for each of the three outcome areas or the child’s length of service has been confirmed to be less than six months (183 days).

13. What does “submit” mean? When do I submit?

“Submit” means the child outcomes information has been reviewed in-district and is ready for use by the Department of Public Instruction. A user with the director/designee role will have the option to submit a child’s entry or exit outcomes record once it has been locked. A child’s entry or exit outcomes record should be reviewed and submitted within 30 days of the record being locked.

Note: The “submit” option is only available when a child’s WISEid has been matched to the child’s outcomes record.

14. What is expected for the documenting of evidence?

Evidence is specific to the data gathered on the child and is used to support the child outcomes team’s responses to the Decision Tree questions. Evidence will be reported
as a narrative describing the child’s development from a functional perspective and across settings.

15. Why are there no possible matches when trying to match the WISEid to the child outcomes record?

When activating the WISEid match within the Indicator 7 Child Outcomes application, the child’s first and last name and birth date as reported in the child outcomes record are compared to LEA enrollment records sent via WISEdata. If there are no possible matches, it means either the child does not have an enrollment record for the LEA or the child’s name and/or birth date provided in the child outcomes record are so different than the name and birth date associated with the child’s WISEid that no match could be found. It is possible that the user may need to edit the name and/or birth date within the child outcomes record to facilitate a match or work with the LEA’s WISEdata staff to ensure that enrollment records are being sent for the child via WISEdata.

Entry/Exit

16. For which children are entry outcome ratings reported?

Entry outcome ratings are reported for ALL children with an individualized education program (IEP) who are age 3 through 5 when they begin receiving special education and related services. This includes:

- All disability categories
- Children receiving services in self-contained, itinerant, and home settings
- Children enrolled in prekindergarten, 4 year old kindergarten, and kindergarten
- Children attending charter schools
- Children receiving initial special education and related services
- Children transferring into the LEA from another LEA in which they were receiving special education services

Exceptions:

- Children who will be age 5 years 6 months when they begin receiving special education and related services are not reported as these children would not receive at least six months (183 days) of service prior to exiting at age 6.
- Children ages 3 through 5 who are parentally placed in a private school and receiving services through a services plan are not reported.

17. Which local education agency (LEA) reports child outcomes for a child attending a LEA other than the LEA of residence?

The nonresident or attending LEA will report the child outcomes. This is the agency that reports WISEdata for the child for enrollment purposes.
18. What is the definition of “entry date” for Indicator 7 Child Outcomes?

For a child receiving initial special education and related services, the entry date is the projected IEP implementation date as documented on the Determination and Notice of Placement.

For a transfer child, the entry date is the date the child enrolls in the LEA.

19. When should a child’s entry ratings be reported?

For a child receiving initial special education and related services, entry outcome ratings should be reported within 60 calendar days prior to the child’s projected IEP implementation date.

For a transfer child, entry outcome ratings should be reported within 45 calendar days after the child enrolls in the LEA.

20. For which children are exit outcome ratings reported?

Exit ratings are reported for all children with entry outcome ratings who received at least six months (183 days) of service.

21. When does a child exit for child outcomes purposes?

A child will exit at age 6 or when the child moves out of the LEA, discontinues receiving special education and related services from the LEA, or enrolls in a private school; whichever occurs first.

22. What is the definition of “exit date” for Indicator 7 Child Outcomes?

- The date of the child’s sixth birthday, or
- The last day the child received services if, prior to age 6, the child 1) moves out of the LEA, 2) discontinues receiving special education and related services, or 3) enrolls in a private school.

23. When should a child’s exit ratings be reported?

Exit ratings should be reported within 30 calendar days following the child’s outcomes exit date.

24. What exit information is reported for a child with entry outcome ratings who received less than six months (183 days) of special education and related services?

Only the exit date is required; exit outcome ratings are not required.

25. How do I determine if a child received at least six months (183 days) of special education and related services prior to his/her exit date?

When determining if a child received at least six months (183 days) of special education and related services prior to his/her exit date, compare the child’s entry date to the child’s exit date. If the time between entry and exit is six months or more, exit outcome ratings are expected. The exception would be if the time between entry and exit
includes summer months when the child did not receive services. In this situation, the summer months should be subtracted when determining if the child received at least six months (183 days) of service.

26. **What exit information is reported for a child with entry outcome ratings who never received any special education and related services?**

If the entry outcome record for the child has not been submitted, an individual with the director/designee access role can delete the child’s outcome record.

If the entry outcome record for the child has been submitted, the child’s exit date should be reported using the same date as the child’s entry date. This will result in the child receiving less than six months (183 days) of special education and related services; exit outcome ratings would not be required.

27. **When should exit ratings be determined for children with summer birthdays?**

If a child turns age 6 in the summer and is not receiving extended school year services (ESY), exit outcome ratings should be determined at the end of the current school year. The exit date would be the last day of the school year. If a child is receiving ESY, the exit rating would be determined on the child’s sixth birthday.

28. **Are exit ratings required if a child unexpectedly leaves the district or discontinues receiving special education and related services?**

Yes, if the child received at least six months (183 days) of special education and related services, exit ratings are required. LEAs should be engaging in ongoing assessment of the child which will allow for recent relevant information on the child’s current functioning. This information will assist in completing accurate exit ratings at any time.

For a child who received less than six months (183 days) of special education and related services, exit ratings would not be required; however, the exit date must be reported when the child leaves.

29. **When completing the exit ratings, how is the new skills or behaviors question answered?**

The question will be answered ‘yes’ if the child has shown ANY new skill or behavior for the outcome area since entry.

The answer to the question about new skills or behaviors is not based on changes in the ratings, but on whether the child has acquired any new skills. For example, a child who receives a rating of "4" at entry and "4" at exit will show higher-level functioning at exit because to maintain a rating of "4" over time the child's functioning has to improve relative to what that child could do at entry.

A child who receives a rating of "4" at entry and "3" at exit could also receive a "yes" on the new skills or behaviors question if the child acquired any new skills or behaviors in the outcome area.
30. Can the LEA use the Birth to 3 Program exit ratings as the LEA entry ratings?

No, the Birth to 3 Program exit ratings do not provide sufficient information for documenting evidence when using the Indicator 7 Child Outcomes Application. The Birth to 3 Program exit ratings, however, can be used as an information source when gathering information about the child’s current level of functioning.

31. For a transfer child, can the exit outcome ratings from the prior LEA be used as the entry outcome ratings?

If the LEA agrees with the ratings, the LEA has the option to use the exit outcome ratings from the prior LEA as its entry outcome ratings for a child; otherwise, the LEA will determine entry outcome ratings for the child using data gathered by the LEA.

Note: If the LEA chooses to use the exit outcome ratings from the prior LEA as its entry outcome ratings, a child outcomes record will still need to be completed by the LEA and the rating information for each outcome area provided; there currently is no feature to transfer records between LEAs within the Indicator 7 Child Outcomes application.

32. If a child moves out of the LEA and then returns to the LEA prior to age 6, is a new entry outcome rating required?

Yes, if the child is younger than age 5 years 6 months and continuing to receive special education and related services when re-enrolling in the LEA, entry outcome ratings are required.

Team Decision-Making

33. Must the ratings be determined by a team of individuals?

Yes, team members should include professionals who work with the child and may include family members and others familiar with the child’s functioning. Individual team composition will vary between children.

34. How are parents engaged in the child outcomes process?

Parents are engaged in the process in that they provide information regarding their child’s current level of functioning. Information gathered from parents will be used in the child outcomes rating process to determine entry and exit ratings for the child.

35. Do parents need to be engaged specifically in rating a child for each outcome area?

No, however, some parents may want to be included in the rating process.

36. What information can be provided to parents about child outcomes requirements?

Information explaining Indicator 7 Child Outcomes requirements is included in the brochure, “An Introduction to Child Outcomes.” This brochure was developed specifically for parents. A downloadable version is available at:
http://www.collaboratingpartners.com/disabilities-indicators-3-7-family-resources.php
37. Do programs need to assess children in all three outcome areas even when it is not an area of concern?

Yes, ratings are required for all outcome areas even if there are no concerns about a child’s development in an outcome area, or if the child has delays only in one or two outcome areas. This includes children receiving only a single service such as speech and language therapy.

Information can be gathered from parents or primary caregivers, regular educators and/or others with whom the child spends time. For example if the child is in Head Start, the Head Start provider could be part of the child outcomes team to provide information from a broader developmental perspective. Also information from a comprehensive assessment tool that assesses ALL developmental domains should be one of the multiple sources of information used to gather progress and exit data.

38. What is an age-anchoring tool and must it be utilized when rating a child?

Yes, an age anchored tool is to be used in determining entry and exit ratings. An age anchoring tool is a standardized tool that provides developmental levels that are compared to age expected skills for typically developing children.

39. What is the definition of ongoing assessment?

Ongoing assessment refers to continuous monitoring of a child’s progress toward desired objectives and outcomes. It informs instructional decisions on a regular basis. Best practices include:

- ongoing assessment that is authentic
- ongoing assessment that is based on multiple sources of information
- assessment information that is anchored to a tool that facilitates interpretation of progress
- assessment that is planned and systematic

40. Is there a list of recommended assessment tools?

Yes, there is a list of recommended assessment tools that are comprehensive, aligned with the Wisconsin Assessment Principles and can be used as ongoing assessment tools that support planning and program implementation. The Wisconsin Recommended Assessment Tool List can be found under the Resource Tab in the Indicator 7 Child Outcomes application. This is not an exhaustive list and other tools may match these guidelines. Assessment tool determinations are an individual LEA decision.

41. What are the definitions of “age–expected,” “immediate foundational,” and “foundational” as used in the Child Outcomes Decision Tree?

**Age-Expected Skills:** Skills and behaviors that are typical for children of a particular chronological age.
Immediate Foundational Skills: Skills and behaviors that occur developmentally just prior to age-expected ones.

Foundational Skills: Skills and behaviors occurring early in development that are conceptually mapped to later skills and behaviors. These skills serve as the foundation for later development.

42. Must a parent provide prior written consent for child outcomes information to be collected?

In general, if the LEA collects, uses, or maintains information about an eligible child to meet the requirements of Part B, including reporting on child outcomes, prior written parental consent is not required. If the collection of outcomes data is used as part of the child’s initial evaluation or re-evaluation, or if such data collection requires the disclosure of personally identifiable information to a third party, prior written consent may be required.

Record Keeping

43. What child outcomes data must be kept on file within the LEA?

An electronic or paper copy of the Entry Outcome Summary and Exit Outcome Summary should be maintained in the child’s file. If the child moves and a records request is received from the new LEA, the Entry Outcome Summary and Exit Outcome Summary should be sent with the records.

44. Is the LEA required to provide the parent with a copy of the Entry Outcome Summary and/or Exit Outcome Summary?

The LEA is not required to provide the parent with a copy of the Entry Outcome Summary and/or Exit Outcome Summary unless requested by the parent.

45. How does the LEA ensure that child outcomes data are reported for all children?

It is recommended that LEAs develop an internal tracking system to ensure that entry outcome records are reported for all children and that exit outcomes records are reported timely. As part of this tracking system, it is important for LEAs to identify staff who will be responsible for reporting child outcomes information and then ensuring these staff are aware of the reporting requirements. When identifying staff, keep in mind that because children exit at varying times, it may not be the same child outcomes team reporting exit outcome records as the child outcomes team that reported entry outcome records.

LEAs are also encouraged to work with their vendor to build reminders in their student information systems (SIS) for the reporting of child outcomes as children enter and exit early childhood special education.