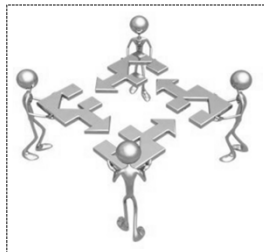


# Flexible Funding Under IDEA

1



**How IDEA funds can support general education's role in Results Driven Accountability**

# Federal Funding Options

## **Title I Schoolwide**

- In general, covers all costs

## **Title I Targeted**

- Only costs associated with providing services to students qualifying for Title I services

## **IDEA Entitlement**

- Only costs associated with providing services to students eligible for special education services

## **CEIS (IDEA)**

- Limited allowed costs associated with the delivery of interventions

## **Title I Schoolwide Set-Aside (IDEA)**

- In general, covers all costs

# Reading Drives Achievement

2

Wisconsin's approach to Results Driven Accountability focuses on improving literacy outcomes for students with disabilities.

- Continuously monitoring student progress data and adjusting instruction.
- Ensuring high-quality, standards-based curriculum and instruction.
- Facilitating collaboration among general and special educators.
- Implementing Universal Design Learning.
- Infusing cultural responsiveness.
- Using multi-level systems of support.

4

Entitlement funds under IDEA are awarded on a non-competitive basis for programs and services to students with disabilities

## **Preschool (PS)**

Provides funds for special education services to children ages 3 to 5.

## **Flow-through (FT)**

Provides funds for special education services to children ages 3 to 21.

## **Types of IDEA Entitlement Grants**

## Flow-through Entitlement & Reading

5

LEAs may choose to use IDEA flow-through or preschool to fund a Reading Specialist or a Reading and Reading Interventions Teacher to provide specially designed literacy instruction outlined in a student's IEP.

- The cost is not eligible for state categorical special education aid.
- Appropriate time and effort documentation would need to be maintained.
- Cost would be coded to Fund 27.

Wisconsin Department of Public Instruction

## Allowable Expenditures

7

- Activities that support the district's approved action strategies as outlined in the ESEA consolidated application's program plan.
- Any manner the LEA chooses – as long as the costs are allowed under the Uniform Grant Guidance
  - Salaries / Fringe
  - Contracted Costs
  - Curriculum Development / Materials / Software
  - Instructional Equipment

Wisconsin Department of Public Instruction

## IDEA Title-I Schoolwide Set-Aside

6

An LEA may use funds received under Part B of the Act for any fiscal year to carry out a schoolwide program under section 1114 of the ESEA.

- Section 613(a)(2)(D) of the Individuals with Disabilities Education Act.
- Addressed in the IDEA regulations "Schoolwide programs under Title I of the Elementary & Secondary Education Act." (34 CFR § 300.206 )

Wisconsin Department of Public Instruction

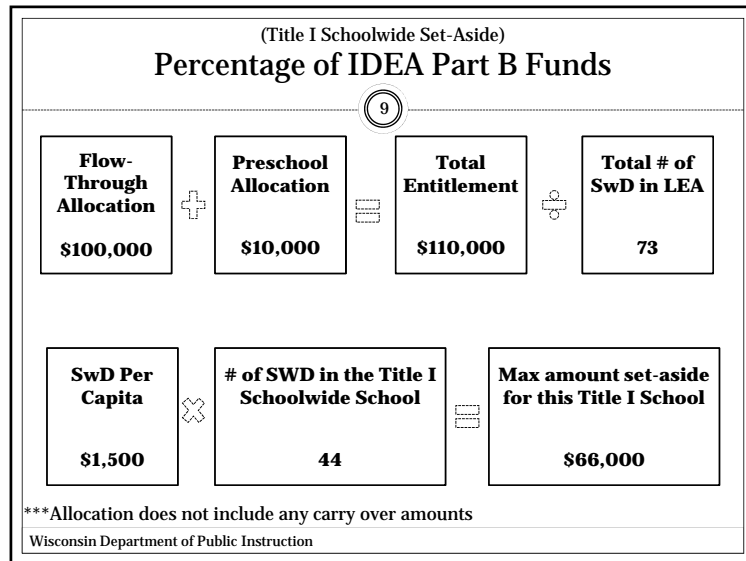
8

**What's the catch?**

**P  
A  
R  
A  
M  
E  
T  
E  
R  
S**

- The LEA must have approved Title I schoolwide schools to participate.
- Funds must be expended at the school level.

Wisconsin Department of Public Instruction





If you don't see this option on the application menu, then the LEA does not have any Title I Schoolwide Schools.



If you do see it, enrollment must be completed before the Title I Set-Aside budget can be accessed.

<b>Allocation</b>	<ul style="list-style-type: none"> <li>• Only the fiscal year allocation – carryover from a prior year is not included.</li> </ul>
<b>LEA SwD</b>	<ul style="list-style-type: none"> <li>• October 1 of the current fiscal year. <i>(for budgeting purposes use prior year count as an estimate until final counts are known)</i></li> </ul>
<b>School SwD</b>	<ul style="list-style-type: none"> <li>• Students with Disabilities counted <b><u>MUST</u></b> be enrolled and attending the Title I schoolwide school.</li> </ul>

Wisconsin Department of Public Instruction 10

### WISEgrants Application Process – Step 1 Enter the Enrollment Count Date

Enrollment Count Date ▼

Select the date on which the enrollment count was performed. If using prior year enrollment data for preliminary reservations, LEA must update when current year enrollment figures are finalized.

Count Date Performed

Enter the date when the enrollment count was taken. The set-aside must be based on the current year, but the LEA can use estimates for planning purposes and must update with actuals when the current year count is known.

12

## WISEgrants Application Process – Step 2 Enter the District-wide Students with Disabilities Count

Total Student with Disabilities Enrollment Data

Enter the total number of students eligible for special education, enrolled in both public and private schools, in the district's jurisdiction ages 3 to 21 as of October 1, 2016 (if using prior year enrollment data for preliminary reservations, LEA must update when current year enrollment figures are finalized):

Count

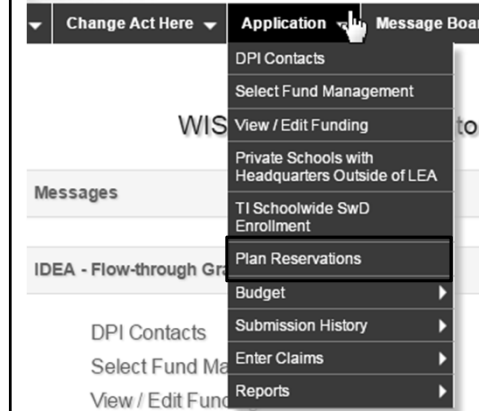
665

Save

Enter the number of students with disabilities, attending both public and private schools, that were counted on the date reported in section one. Because this count includes students enrolled in private schools, the number should be higher than the LEA's October 1 child count figure.

13

## WISEgrants



After the enrollment is entered and saved, the LEA can reserve IDEA funds for each of its Title I Schoolwide schools.

Amounts must be reserved before the Title I Schoolwide budget can be accessed.

## WISEgrants Application Process – Step 3 Enter the Title I Schoolwide SwD Enrollment Numbers

TI SW School Code	TI SW School Name	Number of Students with Disabilities Enrolled
0110	Halmstad EI	45
0160	Southview EI	57
0220	Parkview EI	69
		<b>Total Number of Students with Disabilities Enrolled: 171</b>

Enter the number of students with disabilities enrolled in the Title I Schoolwide school on the date reported in the first section.

Enrollment numbers only need to be added for the schools in which the LEA wishes to set-aside funds and expend.

14

## WISEgrants Application Process – Step 4 Enter the Title I Schoolwide School Reservations Amount

Title I Schoolwide Set Aside

District's Per Pupil Amount:  $\text{Flow-through Allocation} + \text{Preschool Allocation (no carryover)} / \text{Total Number of SwD Enrollment ages 3 to 21}$   
 $\$949,738.00 + \$36,854.00 = \$986,592.00 / 665 = \$1,483.60$

Calculation

Title I Schoolwide School	Number of students with disabilities attending the school	Maximum	Flow-through Reservation
Halmstad EI	45	\$66,761.86	\$30,000.00
Southview EI	57	\$84,565.03	\$30,000.00
Parkview EI	69	\$102,368.19	\$30,000.00

The LEA can reserve up to the maximum amount calculated. The amount entered into the "Flow-through Reservation" field is the amount, per school, that becomes available in the Title I Schoolwide Set-Aside budget.

- Any amount reserved for the Title I Schoolwide set-aside is no longer available in the flow-through budget.

15

SIDEBAR

**Reservation Status - Current Saved Amounts**

Allocation: \$949,738.00  
 Carryover: \$126,113.29  
**Total: \$1,075,851.29**

Flow-through Budgeted: \$711,364.00  
 Flow-through Unbudgeted: \$126,498.49

Title I Schoolwide Set-Aside: \$90,000.00  
 CEIS Set-Aside: \$147,988.80

**Total: \$949,352.80**

The Plan Reservations page has a side bar available so the user can track the amounts set aside for Title I Schoolwide and CEIS in relation to the amount of flow-through funds available when planning the budget.

17

SIDEBAR

**Personnel**

Program Type: Schoolwide  
 Position: Staff  
 Position Activity: Extended Contract/Family Engagement  
 Function: 210000 - Other Pupil Services  
 School: Halmstad EI  
 Salary: \$5,000.00

Area: No Description Beyond Position  
 Object: 000 - Employee Benefits  
 Benefits: \$1,000.00

General Ledger Account:  
 Staff Name or Detailed Description: Teachers assist with parent involvement, Halmstad elementary school  
 Goals: All students proficient or better in reading and mathematics.

All budget items must be assigned to a Title I Schoolwide School with a Goal chosen.

The side bar displays a summary of reserved and budgeted amounts.

**Title I Schoolwide Summary**

Halmstad EI:  
 Amount Reserved: \$30,000.00  
 Amount Budgeted: \$5,000.00  
 Amount Remaining: \$24,000.00

Southview EI:  
 Amount Reserved: \$30,000.00  
 Amount Budgeted: \$5,000.00  
 Amount Remaining: \$24,000.00

Parkview EI:  
 Amount Reserved: \$30,000.00  
 Amount Budgeted: \$5,000.00  
 Amount Remaining: \$24,000.00

19

### WISEgrants Application Process – Step 5 Enter the Title I Schoolwide School Set-Aside Budget

IDEA - Flow-through Grant Application

- DPI Contacts
- Select Fund Management
- View / Edit Funding
- Private Schools with Headquarters Outside of LEA
- Private School SwD Enrollment
- TI Schoolwide SwD Enrollment
- Plan Reservations
- Budget
  - ▶ CEIS
  - ▶ Flow-through
  - ▶ Title I Schoolwide ←
- Submission History
  - ▶ CEIS
  - ▶ Flow-through
  - ▶ Title I Schoolwide
- Enter Claims
  - ▶ CEIS
  - ▶ Flow-through
  - ▶ Title I Schoolwide ←
- Reports
  - ▶ Grant Award

### FY 2016-17 Application Process

20

- Any time between July 1 and June 30
- Detailed application instructions:  
<http://dpi.wi.gov/sites/default/files/imce/sped/pdf/idea-schoolwide-ta.pdf>

Wisconsin Department of Public Instruction

## Accounting for Title I Schoolwide Expenditures

21

- Fund: 10
- Function: Regular Education (such as 110 000)
- Project Code: 341
- Revenue Source: 730
- CFDA: 84.027

Wisconsin Department of Public Instruction

## Supplement / Not Supplant

23

- Definition: Replacing previously existing costs with federal dollars.
- For special education, there is no supplement / not supplant provision with IDEA funds *if* an LEA is meeting maintenance of effort requirements.
  - Since these are technically special education funds, the supplement/not supplant rules apply to the set-aside rather than the supplement / not supplant rules of the Title I-A program.

Wisconsin Department of Public Instruction

## Title I Schoolwide Set-Aside & Special Education

22

Students attending the Title I schoolwide school in which IDEA funds are used for schoolwide activities, must continue to receiving special education and related services in accordance with properly developed IEPs.

Wisconsin Department of Public Instruction

## TI Set-Aside & Flow-through

24

Each LEA will need to address/discuss concerns that IDEA funds are being spent on regular education activities as opposed to special education activities.

- With a strong multi-level system of support in place, LEAs should see a decrease in the number of students identified as needing special education programming.
- Results Drive Accountability – Literacy – this flexibility could support LEAs looking for ways to strengthen the general education instruction for students with disabilities.

Wisconsin Department of Public Instruction

# CEIS

25

Stands for “**C**oordinated **E**arly **I**ntervening **S**ervices”

- Up to 15% of IDEA Allocation – Option for **ALL** LEAs.
- Supports and services for students who need academic or behavioral help to succeed in general education but who have not been identified as students with disabilities.
- The intent is that IDEA funds for CEIS supplement a district’s support for providing a system of interventions.

# What is CEIS?

27

- Most districts have the option to reserve and budget up to 15% of their IDEA funds for a CEIS set-aside.
- Some districts are *required* to use 15% of their IDEA funds on a CEIS set-aside because of findings made by DPI.

# TERMINOLOGY CLARIFICATION

26

## RtI

- Process to achieve higher levels of student success
- A system designed for all students

## CEIS

- Funding source
- Funds used for students without disabilities

# The Caveat

28

- Not intended for students with disabilities.
- Interventions funded with CEIS dollars must be provided to non-disabled students identified as needing additional academic and behavioral supports to succeed in general education.
- Intended for grades kindergarten (including 4K) through grade 12, with emphasis on the early grades.
  - But NOT allowed for preschool

## Funding an RtI System with CEIS

29

- A district's coordinated system for...
  - Identifying students at-risk for failure (screening)
  - Providing the identified students with academic and/or behavioral services and supports
  - Monitoring the identified students' response to the services and supports (progress monitoring) and using the students' data to make educational decisions
  - Ensuring staff implementing these activities have received sufficient professional development and training

Not all of the CEIS pieces can be funded with CEIS grant dollars.

## Interventions

31

- Providing the identified students with academic and/or behavioral services and supports.
  - Often referred to as “interventions”
  - Not core curriculum or “differentiated” curriculum
    - ✦ CEIS cannot fund Universal Learning Design initiatives
  - Staff that provide these services and supports must be qualified and appropriately licensed
- Activities to provide interventions can be funded with CEIS dollars.

## Universal Screening

30

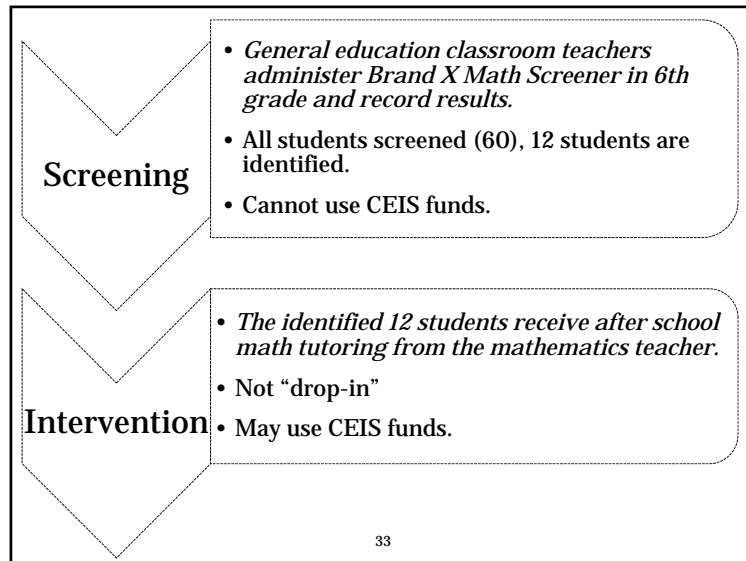
- Identifying students at risk for failure.
  - Not random selection – must be “data-based”
  - System for universal screening in place
  - Students may be at risk academically or because of behavior issues
- Universal screening activities used to identify students cannot be funded with CEIS dollars.

## Monitoring of Interventions

32

- Monitoring the identified students' response to the services and supports.
  - Data is collected on individual student response to the services and supports (interventions)
  - Response is monitored frequently and regularly
  - Monitoring data is used for making decisions about whether interventions are helping and if continued intervention is needed
- Monitoring of intervention activities can be funded with CEIS dollars.



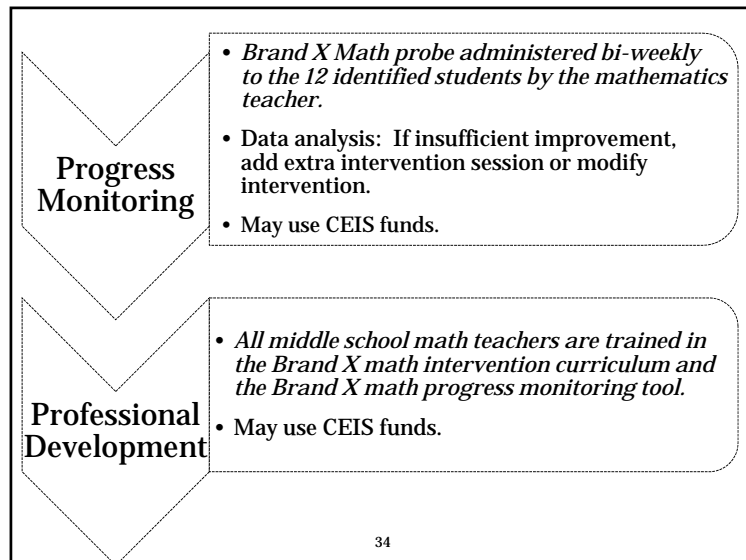


## CEIS and Special Education

35

- If a referral for special education is made, a special education evaluation must be initiated.
- Data collected as a result of activities funded by CEIS may be used when considering if a student meets SLD criteria (specific learning disability).
- Students receiving special education services must have access to general education services, including general education academic and behavioral interventions (as determined by the IEP team).

Wisconsin Department of Public Instruction



## Examples of CEIS Allowable Costs

36

**Staff:**

- General education teachers and substitute teachers
- School Psychologists, Social Workers, Guidance Counselors, School Nurses
- Program Coordinator
- Coaches for Staff
- Mentors
- Tutors / Aides
  - Under the supervision of appropriately licensed staff
  - Not meant for “drop-in” tutoring sessions

Wisconsin Department of Public Instruction

## Examples of CEIS Allowable Costs

37

### Purchased Services:

- Aides / Tutors
- Coaches for Staff
- Copying / Printing / Records
- Curriculum Development
- Professional Development / Travel
- Substitute Teachers
- Travel

## CEIS "Allowables"

Allowed	Not Allowed	Budget Item / Activity
<input checked="" type="checkbox"/>		<b>AFTER SCHOOL / SUMMER PROGRAMMING:</b> CEIS funds may be used to provide academic and behavioral interventions after school and during the summer. CEIS funds may not be used for after school or summer school programming open to all students. CEIS is intended for students determined to need additional academic and behavioral supports to succeed in general education but who have not been identified as students with disabilities.
	<input checked="" type="checkbox"/>	<b>ALTERNATIVE EDUCATION:</b> CEIS funds may not be used for alternative or at-risk programming that <i>replaces</i> universal/core programming. CEIS funds may be used only in programming that provides interventions in <i>addition</i> to universal/core programming.
	<input checked="" type="checkbox"/>	<b>AT-RISK PROGRAMMING:</b> CEIS funds may not be used for alternative or at-risk programming that <i>replaces</i> universal/core programming. CEIS funds may be used only in programming that provides interventions in <i>addition</i> to universal/core programming.
	<input checked="" type="checkbox"/>	<b>BUS, VAN or OTHER STUDENT TRANSPORTATION COSTS:</b> Not allowed.
<input checked="" type="checkbox"/>		<b>COACHES:</b> A district may hire or contract with individuals or organizations for mentoring / coaching services to help carry out activities funded by CEIS. A mentor or coach, unless appropriately licensed, may not provide instruction to students. Mentors and coaches may support, reinforce, or follow-up on instruction provided by and under the supervision of an appropriately licensed general education teacher. See also "Supervision" in the Information section.

## Examples of CEIS Allowable Costs

38

### Non-Capital Objects:

- General Supplies
- Instructional Materials
- Instructional Media (Software)
- Non-Capital Equipment (limited)
- Non-Instructional Software
- Student Incentives

Full list of allowable costs:

<http://dpi.wi.gov/sites/default/files/imce/sped/pdf/ceis-allowables.pdf>

## Professional Development

40

CEIS may pay for professional development to

### **SUPPLEMENT**

implemented interventions in the year funds are used.

If using CEIS funds, an LEA must provide interventions to identified students.

## Reporting Requirements

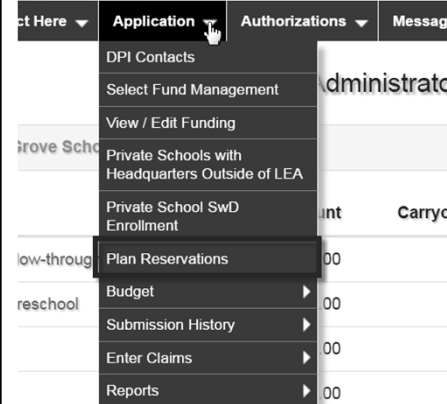
41

- If a district uses IDEA funds for CEIS, then the district must report annually the students that received services funded with CEIS dollars.
  - There are no exceptions – if funds are claimed, there must be a student count for that year.
- DPI reports to the US Department of Education, annually, the number of students who received CEIS and then consequently qualify for special education services within the next two years.

Wisconsin Department of Public Instruction

## WISEgrants

All LEAs are eligible to set aside IDEA funds for CEIS.



Prior to budgeting, the amount of CEIS funds requested needs to be reserved on the Plan Reservations page.

## Planning Phase

42

- Is there a universal screener in place?
- How will students receiving CEIS services be identified?
- Who will collect the student data?
- How will the other pieces of the RtI system be funded?
- Where will the CEIS funds be spent?
- How will this coordinate with other district plans?

Wisconsin Department of Public Instruction

## WISEgrants Application Process – Step 1 Enter the CEIS Set-Aside Amount

Calculation	Maximum	CEIS Reservation
2016-2017: Not Significantly Disproportionate		
2015-2016: Not Significantly Disproportionate		
\$2,384,393.00 * 15 % =	\$357,658.95	\$280,435.74
CEIS Carryover Available =	\$19,564.26	\$19,564.26

The third row is the current year allocation multiplied by 15% and lists the *maximum* amount that can be set aside.

If the LEA reserved CEIS funds in the prior year but did not expend the entire amount reserved, it may be spent in the carryover year.

If the LEA was significantly disproportionate, the reserved amount would default to the required 15%.<sup>44</sup>

**SIDEBAR**

**Reservation Status - Current Saved Amounts** ▲

---

**Allocation:** \$949,738.00  
**Carryover:** \$126,113.29  
**Total:** \$1,075,851.29

**Flow-through Budgeted:** \$711,364.00  
**Flow-through Unbudgeted:** \$126,498.49

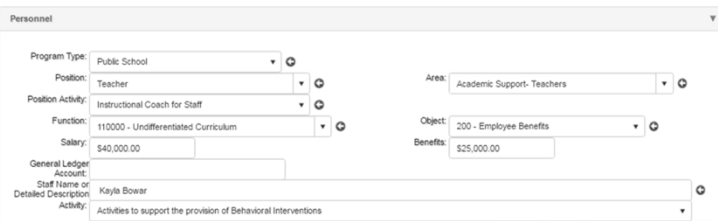
**Title I Schoolwide Set-Aside:** \$90,000.00  
**CEIS Set-Aside:** \$147,988.80  
**Total:** \$949,352.80

The Plan Reservations page has a side bar available so the user can track the amounts set aside for Title I Schoolwide and CEIS in relation to the amount of flow-through funds available when planning the budget.

A reminder: these are not additional funds. Funds budgeted for CEIS are no longer available for regular flow-through.

45

### WISEgrants Application Process – Step 2 Enter the CEIS Set-Aside Budget

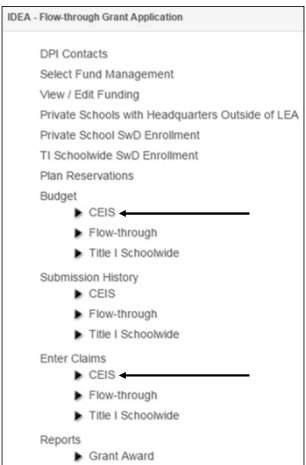


Amount reserved for CEIS is budgeted and claimed separately from the IDEA flow-through or preschool funds.

Each expenditure is tied to an Activity Type: Reading, Math, Behavior, or Other Academic - which will drive the narrative questions.

- Note: "Other" does not mean combing reading & math expenditures into one line, they must be separated.

### WISEgrants Application Process – Step 2 Enter the CEIS Set-Aside Budget



## Required CEIS Narrative

48

The narrative is set up as another section of the budget.

Submission Personnel Purchased Services Non-Capital Objects Indirect View All Sections **CEIS Narrative**

- Answers based on Activity Types chosen in the budget.
- Questions cover:
  - Grade Focus
  - Projected Number of Students
  - Student Data Reporting
  - Universal Screening
  - Academic or Behavioral Interventions
  - Progress Monitoring

Wisconsin Department of Public Instruction

## Student Reporting – What We Learned

49

**CEIS only funds parts of an RtI system, and often there are not enough funds available to even fund a full FTE position.**

**Example: The LEA hires a reading specialist whose salary and benefits equal \$90,000. The maximum amount the LEA can set-aside under CEIS is \$45,000.**

## Universal Screener

Question	Response
Although CEIS funds may not be used for universal screening, the LEA must have a process in place to identify the students who are struggling in order to provide them with services funded by CEIS. How will students be screened to determine if they need the services and supports under this activity?	Student behavioral progress will be monitored through daily office discipline referrals. Students who receive three or more major incident referrals will be targeted for tier 2 or 3 behavior intervention support.

**If the LEA cannot identify how the students will be screened for academic or behavioral interventions, the LEA is not ready to use CEIS funds.**

Question	Response
Identify the grades that will be directly affected by the use of CEIS funds under this activity (Behavior Interventions)	K-5
What is the projected number of students who will receive services and supports funded with CEIS under this activity?	25
Describe how students who will receive services and supports funded by CEIS will be tracked and how this information will be accurately reported to WISEdata (Wisconsin's individual student data collection system)	Students identified as needing behavioral intervention and/or supports will be supported by our behavior intervention specialist. She will conduct functional behavioral assessments and put behavior interventions into place for these students who are struggling. Their daily progress will be monitored through office discipline referral data, which are entered into and tracked via the Educlimber software.

## Interventions

Question	Response
What supports and services, under this activity (behavioral interventions), will be provided directly to students identified? Supports and services must be provided 'above and beyond' the core curriculum received by all students.	Students struggling behaviorally will have a behavior intervention plan in place. These students may also participate in individual social skills/behavioral lessons and/or small SAIG groups.

**Students who receive these interventions, in the grades identified, should be reported as having received services funded by CEIS.**

## Progress Monitoring

Question	Response
What progress monitoring measures will be used to monitor students' response to the services and supports under this activity?	Daily office discipline referrals will be monitored through Educlimber.
How often will progress monitoring take place for these students?	Daily
How will results from progress monitoring be used to make decisions about a student's continued participation?	Once students reached their goal of achieving 80% or better on their behavior intervention plan for 4 consistent weeks, students will be gradually faded from their behavior intervention plan.

## Supplement / Not Supplant

55

- **Definition:** Replacing previously existing costs with federal dollars
- **For special education, there is no supplement / not supplant provision with IDEA funds *if* an LEA is meeting maintenance of effort requirements**
- **HOWEVER – cannot supplant Title funds with CEIS**
  - Not just Title, any federal funds

## Accounting for CEIS Expenditures

54

- **Fund:** 10
- **Function:** Regular Education (such as 110 000)
- **Project Code:** 341
- **Revenue Source:** 730
- **CFDA:** 84.027

## TI Set-Aside & CEIS

56

- **An LEA may utilize both the CEIS and Title I Schoolwide set-asides; however –**
- **The amount of IDEA funds that may be expended on general education by a Title I Schoolwide school is capped at the amount that can be set aside under this provision**
  - If a school is allowed to set aside and expend up to \$10,000 under the Title I Schoolwide set-aside, it may not also use additional funds set-aside under CEIS

## CEIS & WISEdata

57

### WISEgrants will house the CEIS student report.

- Once funds are claimed, the LEA will be notified that students need to be identified as having received services funded by CEIS. LEAs do this in their own student information system, and the data gets pushed to WISEdata.
- WISEgrants will link to WISEdata and pull in the aggregate number of students per LEA.
- LEAs will not be able to submit any additional CEIS claims until at least one student has been reported as having received CEIS funded services.

## Professional Development and Technical Assistance

59

- CEIS: <http://dpi.wi.gov/sped/educators/fiscal/coordinated-early-intervening-services>
- Title I Schoolwide Set-Aside: <http://dpi.wi.gov/sped/educators/fiscal/title1-setaside>
- RTI Center: [www.wisconsinrticenter.org](http://www.wisconsinrticenter.org)
- Reading Drives Achievement: <http://dpi.wi.gov/sped/results-driven-accountability>

## CEIS & WISEdata

58

### Next Steps:

- CEIS Student Report in WISEgrants (hopefully) by mid-March.
- Special Education team will create additional technical assistance in helping LEAs report this through their own student information systems so it is pushed to WISEdata with no errors.
  - ✦ For instance, although they are required fields, it is not necessary to enter in the actual "service dates" per student as this is not information we report to the US DOE. We only report an aggregate number.
- LEAs will not be able to submit any additional CEIS claims until at least one student has been reported as having received CEIS funded services.